

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**DBE - COMMITMENT**

DES-OE-0102.10D (REV 12/2014)

CONTRACT NO:

12-0M5204

BID AMOUNT:

\$ 2,093,305.20

BID OPENING DATE:

11-9-2017

BIDDER'S NAME:

Sturgeon Electric California, LLC

DBE GOAL FROM CONTRACT %:

16%

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>:

N/A

TOTAL NUMBER OF ALL SUBCONTRACTS (DBE &amp; NON-DBE)

6

TOTAL VALUE OF ALL SUBCONTRACTS (DBE &amp; NON-DBE)

\$653,939.69

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
10-18,24 (F)	Civil Roadwork	C7500, C1901, C3901 C6500, C8851, C9810 C1601, C0651, C9801 C5100, C5105, C7301	Her-Con Construction Services, Inc. Cert #36281 (626) 339-7000	\$396,555.19

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

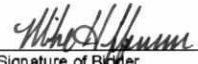
<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed  
Participation

\$ 396,555.19

18.9 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

  
Signature of Bidder

11/9/17

Date

(909) 536-5673

(Area Code) Tel. No.

Mike Heffernan

Person to Contact

(Please Type or Print)

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Contract No. 12-0M5204

Contract no:

12-0M5204

Name of DBE business:

Her-Con Construction Services Inc.

Name of DBE representative:

Joseph Hernandez

DBE certification number:

36281

Name of bidder:

Sturgeon Electric California, LLC

Name of prime contractor if different from the bidder:

Name of representative of bidder or prime contractor:

Steven P. Goad

Date:

November 9th 2017

Bid item number	Item of work and description of services to be subcontracted or materials to be provided	Amount (\$)
10	Remove Concrete	\$44,813.60
11	Roadway Excavation	\$4,218.30
12	Class II Aggregate Base	\$9,272.46
13	Hot mix asphalt	\$102,147.60
14	Tack Coat	\$1,932.85
15	Remove Asphalt concrete surfacing	\$22,204.00
16	Adjust manhole	\$1,700.00
17	Detectable warning surface	\$28,238.40
18	Minor concrete	\$167,428.00
24(F)	Handrailing	\$14,599.98
Total		\$396,555.19

If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative:

Printed name of DBE's authorized representative:

Title of DBE's authorized representative:

11/10/17

Date:

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Contract No. 12-0M5204

# HER-CON CONSTRUCTION SERVICES INC.

CL 945955

758 S. HOLLENBECK AVE., COVINA, CA 91723

Phone: (626) 339-7000

E-mail: hercon7774511@aol.com

**SCCA Member**

**Certified - DBE/MBE Contractor**

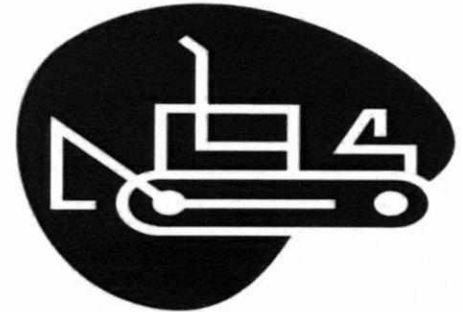
**DIR #** 1000012689

**Date:** 11/7/2017

**ATTN:** Mike

**TO:** Sturgeon Electric

**RE:** Caltrans 12-0M5204



**PRICES INCLUDE ALL ITEMS AND QUANTITIES LISTED INCLUDING (EXCLUSION SHEET) ATTACHED.**

ITEM	DESCRIPTION	QUANTITY	UNIT	UNIT \$	COST
10	1 Remove concrete	260	cy	\$ 172.36	\$ 44,813.60
11	2 Roadway excavation	2.5	cy	\$ 1,687.32	\$ 4,218.30
12	3 Class II aggregate base	58	cy	\$ 159.87	\$ 9,272.46
13	4 Hot mix asphalt	230	ton	\$ 444.12	\$ 102,147.60
14	5 Tack coat	0.7	ton	\$ 2,761.21	\$ 1,932.85
15	7 Remove asphalt concrete surfacing	6,100	sf	\$ 3.64	\$ 22,204.00
16	8 Adjust manhole	1	ea	\$ 1,700.00	\$ 1,700.00
17	9 Detectable warning surface	740	sf	\$ 38.16	\$ 28,238.40
18	10 Minor concrete	200	cy	\$ 837.14	\$ 167,428.00
24(f)	11 Handrailing	38	lf	\$ 384.21	\$ 14,599.98
Excluding all Traffic Control Excluding all demo,base,sand,grading,compacting,badging,traffic control,fees,permits, Safety classes,RR or Metro Insurances,Survey,Concrete washouts,Color concrete. Excavating,Sawcutting. <b>Please Review Notes Below</b>					
<b>Note:</b> Price is based on 1-move see sheet 2 for add mob. Costs. We have excluded all BMP's,SWWP's,Footing Removals,All Overexcavating,Cut and Cap and Relocating of all Existing Utilities,Locating and Pot Holing for any and all Utilities, All Weekend and Night work,Fees,Permits,Licenses,All Survey and Staking,Shop Drwngs, Badging,All signage and striping,Traffic control plans,Engineering,Water source and permit, Message boards,sign postings,Light Source and Towers,Street sweeping,All Badging , ,Washouts,Dumpsters,Rubberized Asphalt,Handling all Hazardous materials.					
Hercon will require temp. toilets for its work forces furnished by others				<b>Grand Total</b>	<b>\$ 396,555.19</b>

**Note:** Included with this proposal; please find attached sheet 2 of 2 - Her-Con Construction Services, Inc.  
 Standard Exclusions with all terms and conditions. If you have not received sheet 2 of 2  
 Please contact our office prior of acceptance 626 339-7000

## **STANDARD EXCLUSIONS WITH GENERAL TERMS AND CONDITIONS**

1. The preparation of compacted sub-grade to be within one-five-hundredths of an inch. Sand aggregate base sub-grade is not included in the above prices unless specifically mentioned on quote. Not responsible for saturation of sub-grade and damage to concrete caused by expansive soils or compaction failures. If water flow is 1% or less, we are not responsible of maintaining proper water flow.
2. If this is a UNIT PRICE proposal. Final quantities will be determined by physical measurements. Owner agrees to protect our work from others.
3. ADA requirements are the responsibility of the Architect and Engineer. HER-CON will not be held responsible for meeting ADA requirements on drawings that themselves do not comply. If field changes are made to the drawings in order to conform to ADA, all costs associated with these changes shall be done on a Time and Material and Change Order Basis.
4. We have specifically excluded all- permits, fees, inspections, shop drawings, bonds, cost of bonds, protection of finished work, protecting of adjacent areas, dewatering, saw cutting for joints and demo, demo, temp. toilets, hauling, crew shuttle, color concrete, engineering, surveying, delineation, traffic control, backfill, backfill of curbs, location of driveway depressions, expansion joints, caulking, waterproofing, vapor barrier, all decorative concrete paving, CMU walls, PCC Sealers, Reinforcing, structures, grading, compacting, trenching, trenching of all thickened edges, excavation work, base materials, All M.E.P. work, drains, dowels, clear&grubb, crew badges, embeds, templates grouting, drypacking, A/C Slot Patching, street sweeping, Additional Exclusions For A/C Work- grinding, prime, coat, headers, seal coat, fog seal, patching for other trades, water / water meters or flood testing, adjustments of utilities / manholes / underground utilities, slot patchbacks, weedkill, All Base- and Asphalt installation is to be per 1-Mobilization and \$9500.00 each additional.
5. Concrete mobilizations and all demo mobilization Price are based on 1 Mobilization and \$3,500.00 each additional. Our crew will require clear access for concrete ready mix trucks, crew panel trucks, and Asphalt dump trks.
6. This bid is subject to acceptance within Thirty (30) days of its date. Prices quoted are valid through Feb. 2017 Price is based on no schedule of start date. If work is to be performed passed the valid date then prices may increase.
7. If jobs schedule changes and requires premium-time work, we reserve the right to renegotiate concrete prices or proceed on a time and material basis due to the production loss-factor of premium-time work.
8. All overdue accounts will be charged (1) one percent per month interest. Plus all collection costs plus all attorney fees for such collections. If there is a stoppage of work for a period of 60 days, all unpaid work including retention shall be paid in full upon five working days, after written notice.
9. The terms and conditions of this proposal are to be part of any contract, and in fact the offering of a contract by the buyer constitutes acceptance of this proposal and its conditions. This proposal along with standard exclusions and general terms and conditions sheet shall be part of the sub-contract agreement, set as an attachment.
10. Price is excluding all M.E.P. drawings (mechanical, electrical and plumbing)
11. Price is based on regular work hours from Monday through Friday; excluding all overtime and weekend work.
12. No change order will be performed regardless of any contract obligations between the Prime G.C. and Owner, HER-CON reserves the right to refuse any and all extra work and mobilizations without written approval by the Prime Contractor HER-CON is currently working for.
13. HER-CON will require all extra work to be paid in full along with progress billing for that pay period, regardless of approval by owner or agency.
14. In the event that HER-CON is pulled in and out of sequence as a result of job conditions both owner generated and prime contractor directed, HER-CON will require a mobilization cost of \$2,500.00 per occurrence.
15. In the event that there is a stop in our scope of work for a period of 30 days. HER-CON reserves the right to adjust its prices for the delay of job, also if the project is delayed passed 60 days, HER-CON will consider this contract void of its original unit and contract price, along with its contractual obligations, in this event HER-CON will be paid in full.
16. In the event that the prime contractor removes more than 20% of the original contract price, both lump sum and unit price projects, HER-CON will have the right to re-bid the project accordingly to maintain a fair market value.

**Note: All prices above are based on a 520 C-2500 and 560 C-3250 mix for all concrete flat work.**

For any questions regarding this proposal, please do not hesitate to contact our office thank you.

758 S. Hollenbeck Ave., COVINA, CA 91723 PHONE 626 339-7000 E-mail: hercon7774511@aol.com

[Back To Query Form](#)**Search Returned 1 Records**

Thu Nov 09 15:10:06 PST 2017

**Query Criteria**

Firm/DBA Name: Her-Con Construction

Firm Type: DBE

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<b>Firm ID</b>	36281
<b>Firm/DBA Name</b>	HER-CON CONSTRUCTION SERVICES, INC.
<b>Address Line1</b>	758 S. HOLLENBECK AVENUE
<b>Address Line2</b>	
<b>City</b>	COVINA
<b>State</b>	CA
<b>Zip Code1</b>	91723
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>Email</b>	hercon7774511@aol.com
<b>Contact Name</b>	JOSEPH HERNANDEZ
<b>Area Code</b>	( 626 )
<b>Phone Number</b>	339-7000
<b>Extension</b>	
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Extension</b>	
<b>Fax Area Code</b>	( 626 )
<b>Fax Phone Number</b>	331-3638
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	19; 30; 33; 36;
<b>Districts</b>	07; 08; 12;
<b>DBE NAICS</b>	236220; 237110; 237120; 237130; 237990;

**ACDBE NAICS****Work Codes**

C7500 MISC IRON & STEEL FRAME, COVER & GRATE; C1901 ROADWAY EXCAVATION; C3901 ASPHALT CONCRETE; C6500 REINFORCED CONCRETE PIPE; C8851 Lead Compliance; C9810 SMALL STRUCTURES; C1601 CLEARING & GRUBBING; C0651 CONCRETE & CEMENT SUPPLIER; C9801 BUILDING CONSTRUCTION; C5100 CONCRETE STRUCTURE; C5105 MINOR CONCRETE STRUCTURE; C7301 CONCRETE CURB & SIDEWALK - MISC; A General Engineering Contractor; C08 Concrete Contractor;

**Licenses****Trucks****Gender****Ethnicity****Firm Type**

M  
HISPANIC  
DBE

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[Back To Query Form](#)



2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

[illegible]

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBE that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

[illegible]

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

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6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

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7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

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8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

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9. Include additional data to support a demonstration of good faith efforts.

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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

## FORMS FOR BID

FOR CONSTRUCTION ON STATE HIGHWAY IN ORANGE COUNTY IN HUNTINGTON  
BEACH, ANAHEIM AND LA HABRA AT VARIOUS LOCATIONS FROM ATLANTA  
AVENUE TO CYPRESS STREET

In District 12 On Route 39

Under

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*Notice to Bidders and Special Provisions* dated October 16, 2017

*Standard Specifications* dated 2015

Project plans approved August 28, 2017

*Standard Plans* dated 2015

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Applicable to

Electronic *Bid* book dated October 16, 2017

Identified by

Contract No. 12-0M5204

12-Ora-39-0.6/22.2

Project ID 1212000096

Federal-Aid Project

ACHSNHG-P039(035)E



ORIGIN ID: ONTA (909) 591-0000 ANGELA SHERLIN STURGEON 13501 BENSON AVENUE CHINO, CA 91710 UNITED STATES US		SHIP DATE: 10NOV17 ACTWGT: 1.00 LB CAD: 103234320INET3920 BILL SENDER
<b>TO OFFICE ENGINEER MSC 43</b> <b>CALIFORNIA DEPARTMENT OF TRANS.</b> <b>1727 30TH STREET</b> <b>SACRAMENTO CA 95816</b> (916) 654-6410 REF: BU#1772 PO DEPT		
 		
TRK# 7707 2433 2808 0201	MON - 13 NOV 10:30A PRIORITY OVERNIGHT	95816 CA-US SMF
		

549J3/F877/104C

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